

## New Account Application

### INITIAL INVESTMENT *(Please make checks payable to FAM Funds)*

- ☐ FAM VALUE FUND-01 (\$500 MINIMUM): \$ \_\_\_\_\_
- ☐ FAM DIVIDEND FOCUS FUND-56 (\$500 MINIMUM): \$ \_\_\_\_\_
- ☐ FAM SMALL CAP FUND-78 (\$500 MINIMUM): \$ \_\_\_\_\_

## Type of Account

### INDIVIDUAL OR JOINT ACCOUNT

NAME (FIRST, MIDDLE INITIAL, LAST) ☐ U.S. CITIZEN ☐ RESIDENT ALIEN S.S.# D.O.B

### JOINT ACCOUNT OWNER *\*Joint tenancy with right of survivorship unless you indicate otherwise.*

NAME (FIRST, MIDDLE INITIAL, LAST) ☐ U.S. CITIZEN ☐ RESIDENT ALIEN S.S.# D.O.B

### GIFTS/TRANSFERS TO MINORS

MINOR'S NAME (ONLY ONE) (FIRST, MIDDLE INITIAL, LAST) ☐ U.S. CITIZEN ☐ RESIDENT ALIEN S.S.# D.O.B

Under the \_\_\_\_\_ (State) Uniform Gift to Minors Act/Uniform Transfer to Minors Act.

CUSTODIAN'S NAME (FIRST, MIDDLE INITIAL, LAST) ☐ U.S. CITIZEN ☐ RESIDENT ALIEN S.S.# D.O.B

### MAILING ADDRESS

P.O. BOX OR STREET: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_

DAYTIME PHONE #: \_\_\_\_\_ CELL PHONE #: \_\_\_\_\_

EVENING PHONE #: \_\_\_\_\_ EMAIL ADDRESS: \_\_\_\_\_

### RESIDENCE ADDRESS

☐ Check here if your residence address is the same. (Please provide a street address even if your mail is sent elsewhere.)

OWNER STREET ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_

JOINT OWNER, OR MINOR'S STREET ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_

## Automatic Investment Plan

Please withdraw (\$25 minimum) from my bank checking account to purchase shares as indicated below:

### FUND

### DAY(S) OF MONTH

### MONTH TO BEGIN

- ☐ FAM VALUE FUND \$ \_\_\_\_\_ \*
- ☐ FAM DIVIDEND FOCUS FUND \$ \_\_\_\_\_ \*you may do more than one day per month
- ☐ FAM SMALL CAP FUND \$ \_\_\_\_\_

\*Please attach a VOIDED check from your checking account to ensure proper processing.

☐ Check here if account is the same used in initial purchase.

## Cost Basis Method Selection

Internal Revenue Service (IRS) rules require us to report cost basis on mutual fund shares acquired after January 1, 2012. In order to provide you and the IRS with accurate cost basis accounting, please select a cost basis method for your new account. If you do not elect a method, your account will default to the Average Cost method.

Please choose one of the following available methods:

- ☐ AVERAGE COST ☐ FIRST IN, FIRST OUT (FIFO) ☐ HIGHEST IN, FIRST OUT (HIFO)
- ☐ LAST IN, FIRST OUT (LIFO) ☐ LOW COST ☐ SPECIFIC SHARE ID\*

\* If lots are not specified for redemptions or other dispositions, shares will be redeemed using the FIFO method.

## Distribution Options

Dividends & Capital Gains will be reinvested unless you indicate otherwise.

- ☐ DIRECT DEPOSIT (ACH)\* DIVIDENDS TO ME ☐ SEND DIVIDENDS BY CHECK
- ☐ DIRECT DEPOSIT (ACH)\* CAPITAL GAINS TO ME ☐ SEND CAPITAL GAINS BY CHECK

\*Please attach a VOIDED check from your checking account to ensure proper processing.

☐ Check here if account is the same used in initial purchase.

## Signature and Custodian Acceptance

I (we) have full right, power, and legal capacity and am (are) of legal age in my (our) state of residence to purchase shares of the Fund. I (we) affirm that I (we) have received and read the current Prospectus or Summary Prospectus of the Fund and agree to its terms. I (we) understand the Fund's investment objectives and policies and have determined that the Fund is a suitable investment based upon my (our) investment needs and financial situation.

I am (we are) not subject to backup withholding because I (we) have not been notified by the IRS that I am (we are) subject to backup withholding as a result of a failure to report all interest or dividends or because the IRS has notified me (us) that I am (we are) no longer subject to backup withholding. If you are currently subject to backup withholding as a result of a failure to report all interest and dividends, please cross out the preceding statement. I (we) further certify that my (our) correct Social Security (taxpayer identification) number is as indicated on this application. **Sign below exactly as the account is to be registered.**

I (we) certify that I am (we are) a U.S. Person (including a U.S. Resident Alien).

### AUTHORIZED SIGNATURE(S)

OWNER, CUSTODIAN SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

JOINT OWNER SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

PLEASE MAKE CHECKS PAYABLE TO FAM FUNDS

**USA Patriot Act Notice:** To help the government fight the funding of terrorism and money laundering activities, the USA Patriot Act requires all financial institutions, including mutual funds, to obtain, verify, and record information that identifies each person who opens an account. For all new accounts, this means that the following information must be obtained: Name, Date of Birth, Physical Residential Address (post office boxes are permitted for mailing purposes only), and Taxpayer Identification Number, such as a Social Security Number. **We may also ask to see your driver's license or passport in order to verify your identity.** Until such verification is made, FAM Funds may be required to not open your account, close your account or take other steps we deem reasonable if we are unable to verify your identity and ensure that the information you supply is correct.

FENIMOREASSET.COM

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